

**COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION**  
**UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)**

**BOX PCT**

**COMPLETION**  
**For PCT Cases Only**

In re PATENT APPLICATION of  
Inventor(s): Zitzmann et al.

Attn: Application Division

Appln. No.: 10 | 031,145  
Series Code ↑ Serial No. ↑

Atty. Dkt. P 0284934  
M# Client Ref

National Phase

Based on PCT US00 | 21732  
↑ Country Code & Year

Title: Long Chain N-Alkyl Compounds and Oxa-Derivatives Thereof  
Date: July 3, 2002

**FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASE**

Asst. Commissioner of Patents  
Washington, DC 20231

Sir:

The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905) ☒ copy attached ☐ not yet received
2. ☒ **Signed Declaration** ☒ Original ☐ Facsimile/Copy ☐ with spec/claims attached
3. ☐ **Translation** of the International Application into English including:
  - a. ☐ Request;
  - b. ☐ Abstract
  - c. ☐ pgs. Spec. and Claims;
  - d. ☐ Translation verification
  - e. ☐ sheets Drawing which are: ☐ informal ☐ formal of size ☐ A4 ☐ 11"
4. ☐ Copy of **International Search Report (ISR)** attached (☐ page(s))
  - a. ☐ plus Annex of family members (☐ page(s))
5. **Information Disclosure Statement** including
  - a. ☐ From PTO-1449 listing documents
  - b. ☐ Copies of document(s) listed on Form PTO-1449
  - c. ☐ A concise explanation of ISR references is given in the ISR
6. ☒ **Assignment** and cover sheet. Please return the recorded assignment to the undersigned.
7. ☐ Copy of Power to international application agent.
8. ☐ (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
9. ☐ Formal Drawings: ☐ sheet(s) ☐ informal; ☐ formal of size: ☐ A4 ☐ 11"
10. ☒ Please immediately start national examination procedures (35 USC 371(f))

11. ☐ Attached:
12. ☐ Preliminary Amendment:
13. ☒ Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:
14. **Calculation of remaining fees due (if any):** based on amended claim(s) per above item  
☐ 12 (above) or item(s) in PAT-112 (filed previously) ☐ 12 ☐ 14 ☐ 17 ☐ 25
15. **CLAIMS FEES** ☐ previously paid ☒ paid herewith as follows:
- 15A. Small Entity Statement ☐ Herewith ☐ Previously Filed

|   |    |            |    | Large/Small Entity |                 | Fee Code                             |
|---|----|------------|----|--------------------|-----------------|--------------------------------------|
| 16. Total Effective Claims  | 57 | minus 20 = | 37 | x \$18/\$9         | +666            | 966/967                              |
| 17. Independent Claims  | 4  | minus 3 =  | 1  | x \$84/\$42        | +84             | 964/965                              |
| 18. If <u>any proper</u> multiple dependent claim (ignore improper) is present,                                   |    |            |    | \$280/\$140        | +280            | 968/969                              |
| 19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input checked="" type="checkbox"/> now |    |            |    | \$130/\$65         | +130            | 154/254                              |
| 20. SUBTOTAL  |    |            |    |                    | \$1160          |                                      |
| 21. <b>Original due date:</b> June 3, 2002  |    |            |    |                    |                 |                                      |
| 22. <b>Petition is hereby made</b> to extend the <b>original</b> due date to                                      |    |            |    | (1 mo)             | \$110/\$55 =    | +110                                 |
| cover the date this response is filed for which the requisite fee   |    |            |    | (2mos)             | \$400/\$200 =   | 115/215                              |
| is attached   |    |            |    | (3mos)             | \$920/\$460 =   | 116/216                              |
|   |    |            |    | (4mos)             | \$1,440/\$720 = | 117/217                              |
| 23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee . . . . .  |    |            |    | \$130              | +0              | 118/218                              |
| 24. If "assignment" box 6 is X'd, add recording fee . . . . .   |    |            |    | \$40               | +120            | 156                                  |
| 25. TOTAL FEE =   |    |            |    |                    | \$1390          |                                      |
|   |    |            |    |                    |                 | <b>PLEASE CHARGE DEPOSIT ACCOUNT</b> |

**CHARGE Deposit Account No. 03-3975**

Our Order No. 081361 0284934  
C# M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP  
Intellectual Property Group**

1600 Tysons Boulevard  
McLean, VA 22102  
Tel: (703) 905-2000

By Atty: Robert W. Hahn  
Sig: [Signature]

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RWH/kmh

**NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments**

07/09/2002 GFREY1 00000152 033975 10031145

01 FC:154 130.00 CH  
02 FC:966 666.00 CH  
03 FC:964 84.00 CH  
04 FC:968 280.00 CH  
05 FC:115 110.00 CH



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

|                             |                       |                  |
|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/031,145                  | Nicole Zitzmann       | P284934          |

|                               |               |
|-------------------------------|---------------|
| INTERNATIONAL APPLICATION NO. |               |
| PCT/US00/21732                |               |
| I.A. FILING DATE              | PRIORITY DATE |
| 08/10/2000                    |               |

00909

PILLSBURY WINTHROP, LLP  
P.O. BOX 10500  
MCLEAN, VA 22102

PILLSBURY WINTHROP LLP/DC

**RECEIVED**

APR 25 2002

CONFIRMATION NO. 6322

CL# 81361 MT# 284934  
ATTY(S) PLS/MAS  
DUE: 6/3/02  
DKT BY (1) MSH (2)

371 FORMALITIES LETTER



\*OC000000007772526\*

Date Mailed: 04/03/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

- Additional claim fees of \$1030 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees

are due.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$1030** for a Large Entity:

- Total additional claim fee(s) for this application is **\$1030**
  - **\$84** for **1** independent claims over 3.
  - **\$666** for **37** total claims over 20.
  - **\$280** for multiple dependant claims surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

MAMIE P PERSON

Telephone: (703) 305-3737

#### PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/031,145                  | PCT/US00/21732                | P284934          |

FORM PCT/DO/EO/905 (371 Formalities Notice)

